



Astro 500



# *Techniques of Modern Observational Astrophysics*

Matthew Bershadsky  
University of Wisconsin

# Lecture Outline

## *Spectroscopy from a 3D Perspective*

- ✓ Basics of spectroscopy and spectrographs
- ✓ Fundamental challenges of sampling the data cube
- Approaches and example of available instruments
  - I: Grating-dispersed spectrographs ← a lot of material
  - II: Fabry-Perot interferometry
  - III: Spatial heterodyne spectroscopy

# Approaches

## Examples of available instruments

- ✓ Grating-dispersed spectrographs

- ✓ basic spectrograph design

- ✓ dispersive elements

Finish this off: VPH gratings



- Long-slit spectrographs

- o General Observing Considerations

- Double spectrographs

- Multi-objects spectrographs: slitlets vs fibers

- Echelle spectrographs

- 3D spectroscopy: coupling formats and methods

- o Fiber

- o Fiber+lenslet

- o Slicer

- o Lenslet

- o Filtered multi-slit

- summary of considerations

- sky subtraction

# Grating-dispersed spectrographs

## basic spectrograph design

- **Grating equation**

$$m \lambda = \sigma ( \sin \beta + \sin \alpha )$$

(reflection)

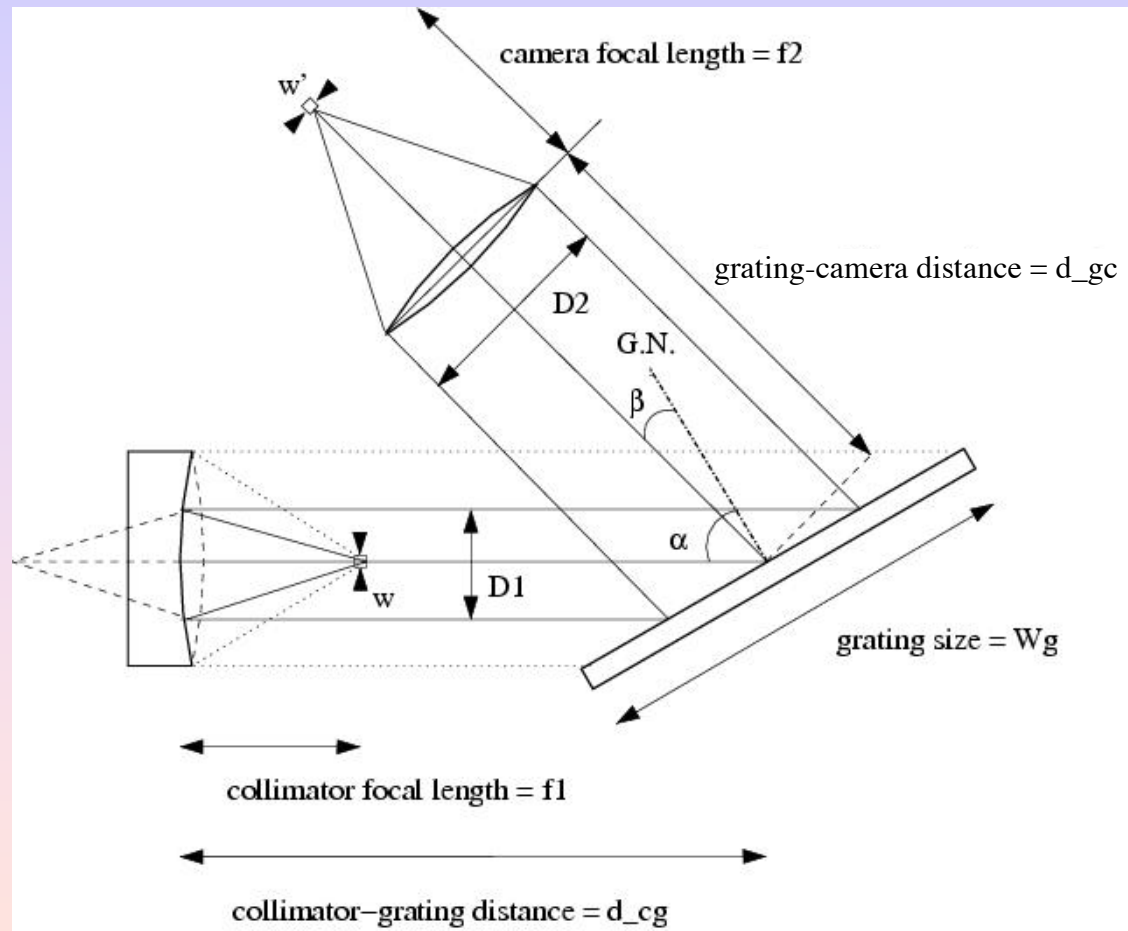
$\sigma$  is groove separation (nm)

- **Angular dispersion**

$$\gamma = d\beta/d\lambda = m / \sigma \cos \beta$$
$$= (\sin \beta + \sin \alpha) / \lambda \cos \beta$$

- **Linear dispersion**

$$dl/d\lambda = f_2 \gamma$$



# Grating-dispersed spectrographs

## basic spectrograph design

### Spectrograph magnification

$w$  = physical slit width

$w'$  = reimaged slit width

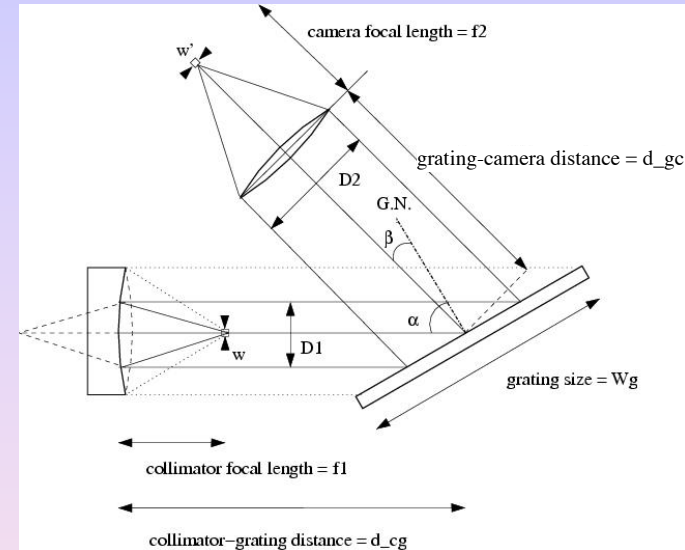
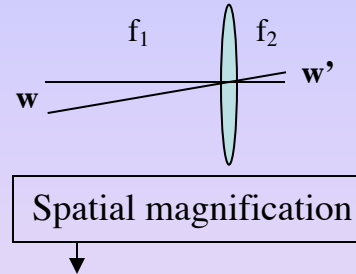
$w_\theta' = \text{reimaged spatial width} = (f_2/f_1) w$

$w_\lambda' = \text{reimaged spectral width}$   
 $= r (f_2/f_1) w = r w_\theta'$

$r = |d\beta/d\alpha| = \cos \alpha / \cos \beta = D_1 / D_2$

$r$  is the **anamorphic factor**: for a give  $d\alpha$  (angular slit width) what is  $d\beta$  such that  $d\lambda = 0$ ? (differentiate grating equation and set to 0)

- “ $A\Omega$ ” is conserved
  - bigger beam : smaller angle
  - $\beta/\alpha > 1$  magnification;  $\beta/\alpha < 1$  demagnification
- **demagnification** gives more resolution elements per mm (good!)
- requires large camera optics to avoid vignetting beam
- $r = 1$  for littrow configurations:  $\alpha = \beta = \delta$ ,  $\delta$  is blaze angle



# Grating-dispersed spectrographs

## basic spectrograph design

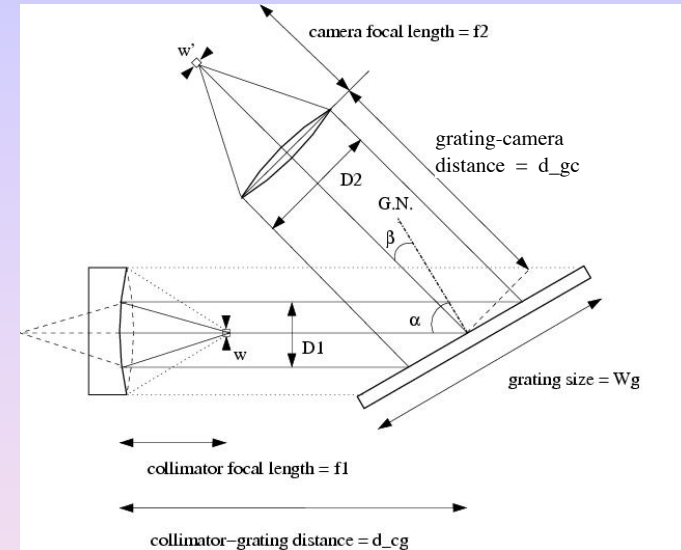
### Spectral resolution

$$\begin{aligned}
 R &= \lambda / d\lambda \\
 &= \lambda (\gamma/r) (f_1/w) \\
 &= \lambda (\gamma/r) (D_1/\theta D_T)
 \end{aligned}$$

Want large collimator  
and even larger camera

Want *large* dispersion,  
but can get resolution  
also from  
*demagnification*:

Want *long* collimator  
at fixed camera  $f_2$ ;  
need field lens or white  
pupil to avoid  
vignetting.



Using grating equation:

$$R = (f_1/w) (\sin \beta + \sin \alpha) / \cos \alpha$$

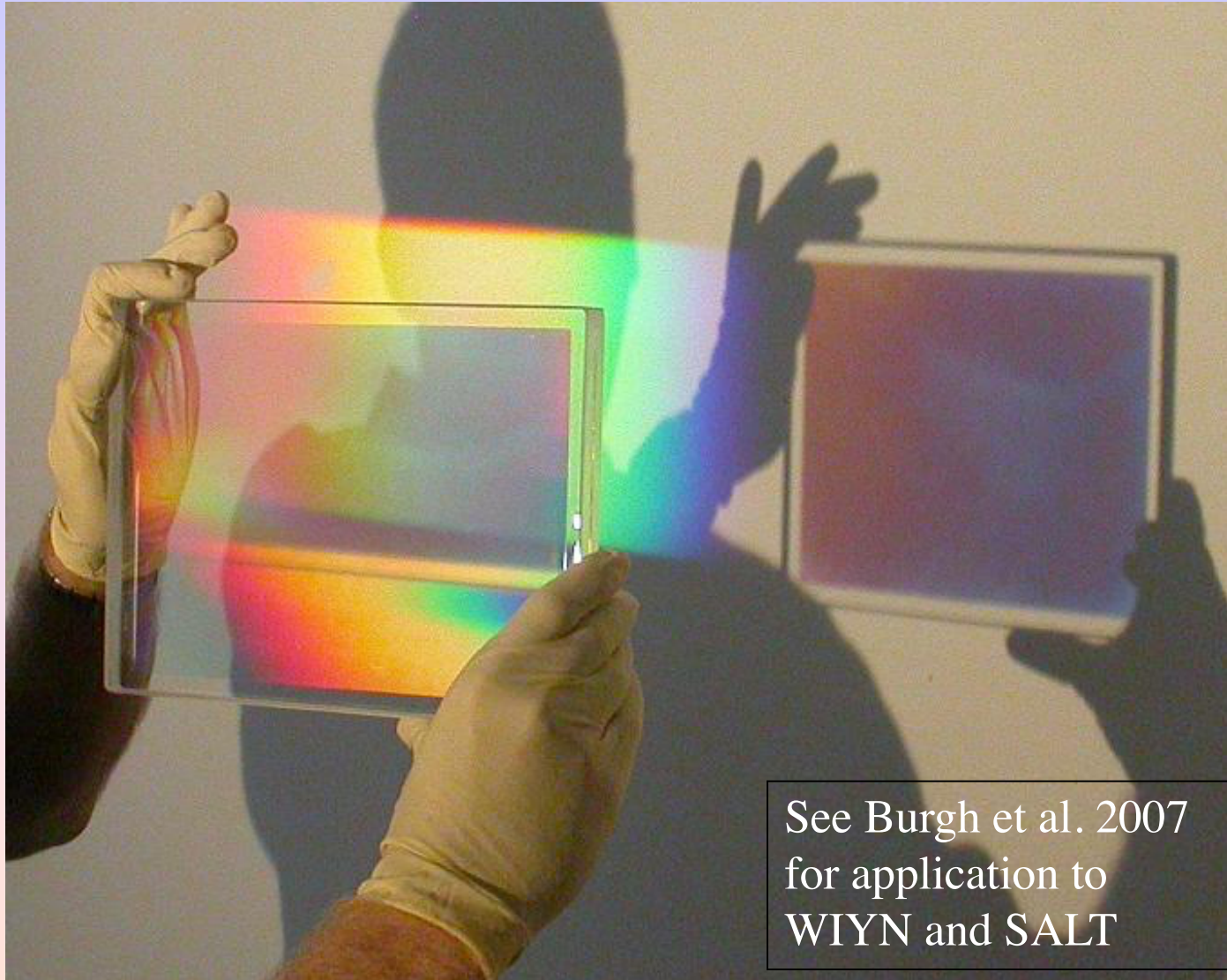
$$\begin{aligned}
 \theta &= \text{angle of slit on sky} \\
 d\lambda &= w_\lambda' / (dI/d\lambda) \\
 w &= f_T \theta \\
 f_1/d_1 &= f / D_T
 \end{aligned}$$

which becomes in Littrow:

$$R = (f_1/w) 2 \tan \alpha$$

Resolution is more driven by dispersion;  
want large  $\alpha$ , which means *large gratings*.

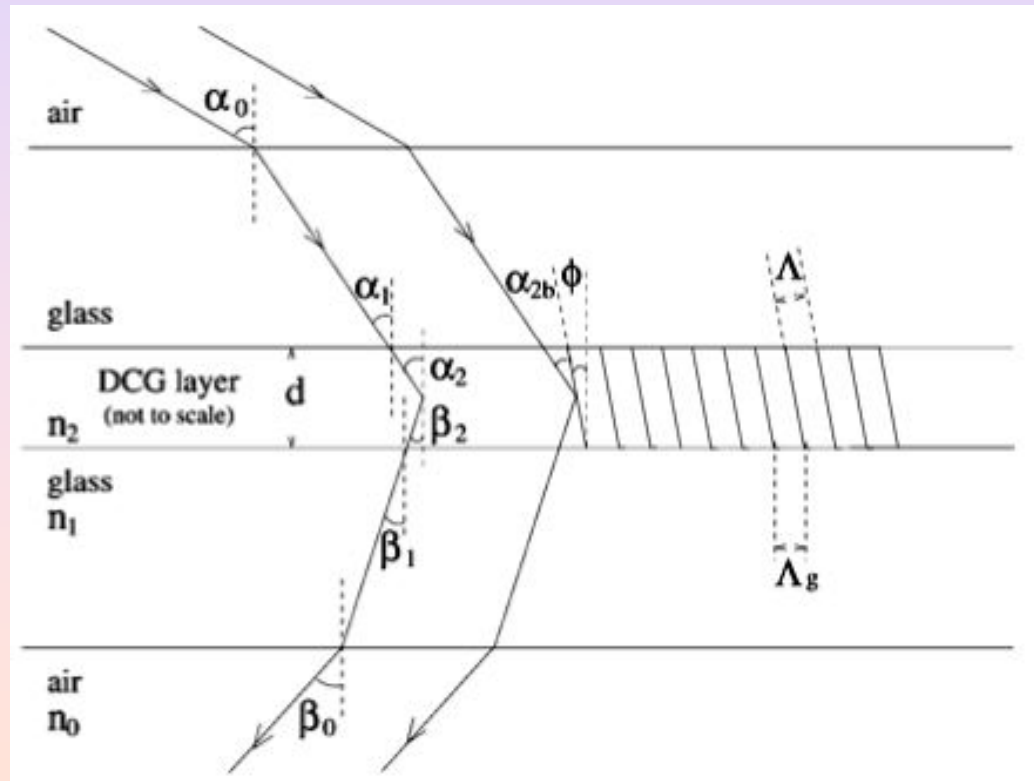
# Volume Phase Holographic gratings



See Burgh et al. 2007  
for application to  
WIYN and SALT

# Volume Phase Holographic gratings

- Bragg condition for un-tilted fringes
$$m\lambda / n_i = 2 \Lambda_g \sin \alpha_i$$
- Generalized Bragg condition for tilted fringes
$$m\lambda / n_2 = 2 \Lambda \sin \alpha_{2b}$$



Baldry et al. '04

# Volume Phase Holographic gratings

Baldry et al. '04

- **Tuning TE and TM polarizations**
  - Possible to visualize in Kogelnik limit:\*
    - Tune  $\Delta n_2 d / n_2 \Lambda$
  - $n_2 \Lambda$  sets relationship between  $\lambda$  and  $\alpha_{2b}$
  - $\Delta n_2$  and  $d$  adjusted for band-width
    - Thinner  $d$  yields larger band-width but required larger  $\Delta n_2$  which is difficult in practice

\*Kogelnik limit

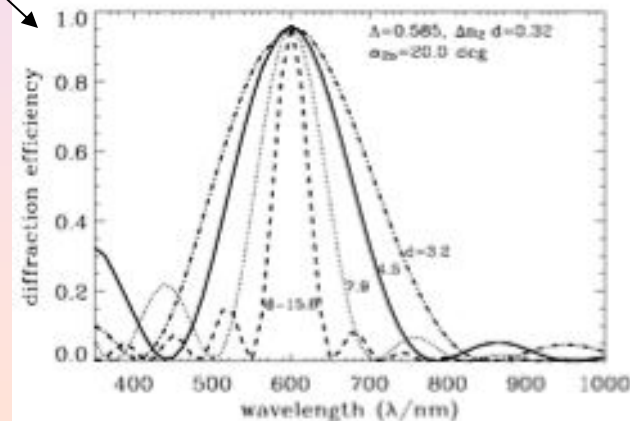
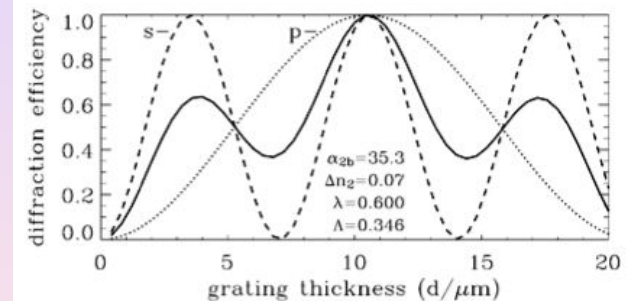
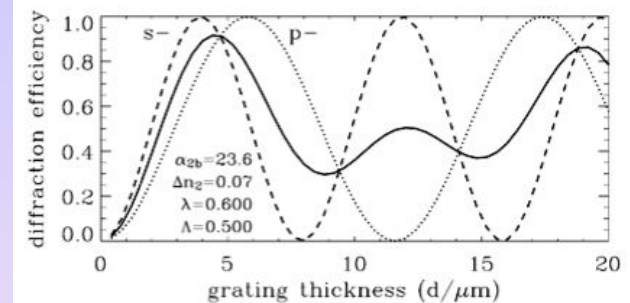
$$\rho = \frac{\lambda^2}{\Lambda^2 n_2 \Delta n_2} > \rho_{\text{limit}}$$

$$\rho_{\text{limit}} \sim 10: \lambda > \Lambda$$

$$\eta = \frac{1}{2} \sin^2 \left( \frac{\pi \Delta n_2 d}{\lambda \cos \alpha_{2b}} \right) + \frac{1}{2} \sin^2 \left[ \frac{\pi \Delta n_2 d}{\lambda \cos \alpha_{2b}} \cos (2\alpha_{2b}) \right],$$

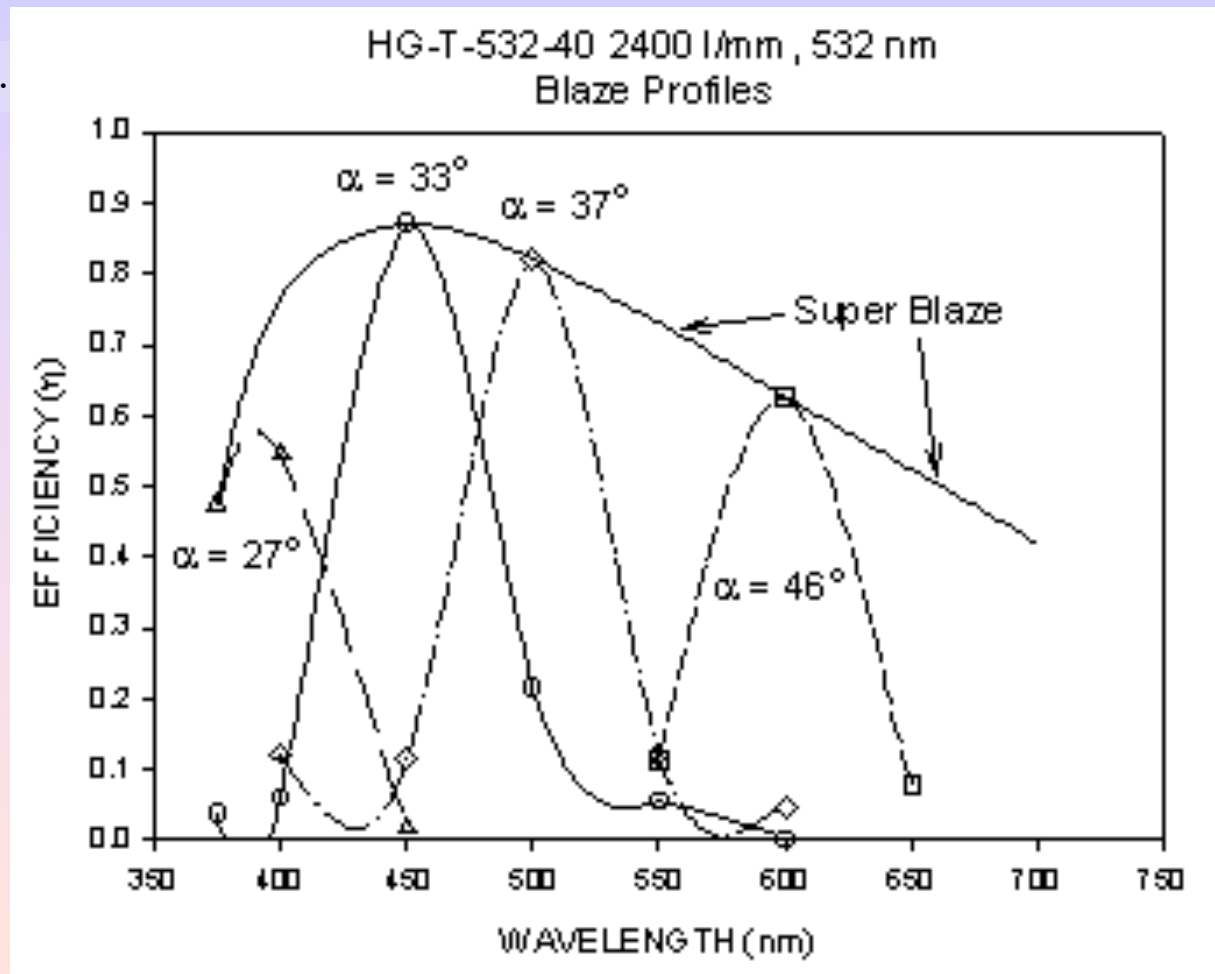
TE

TM



# Tuneability of VPH gratings

The good news....



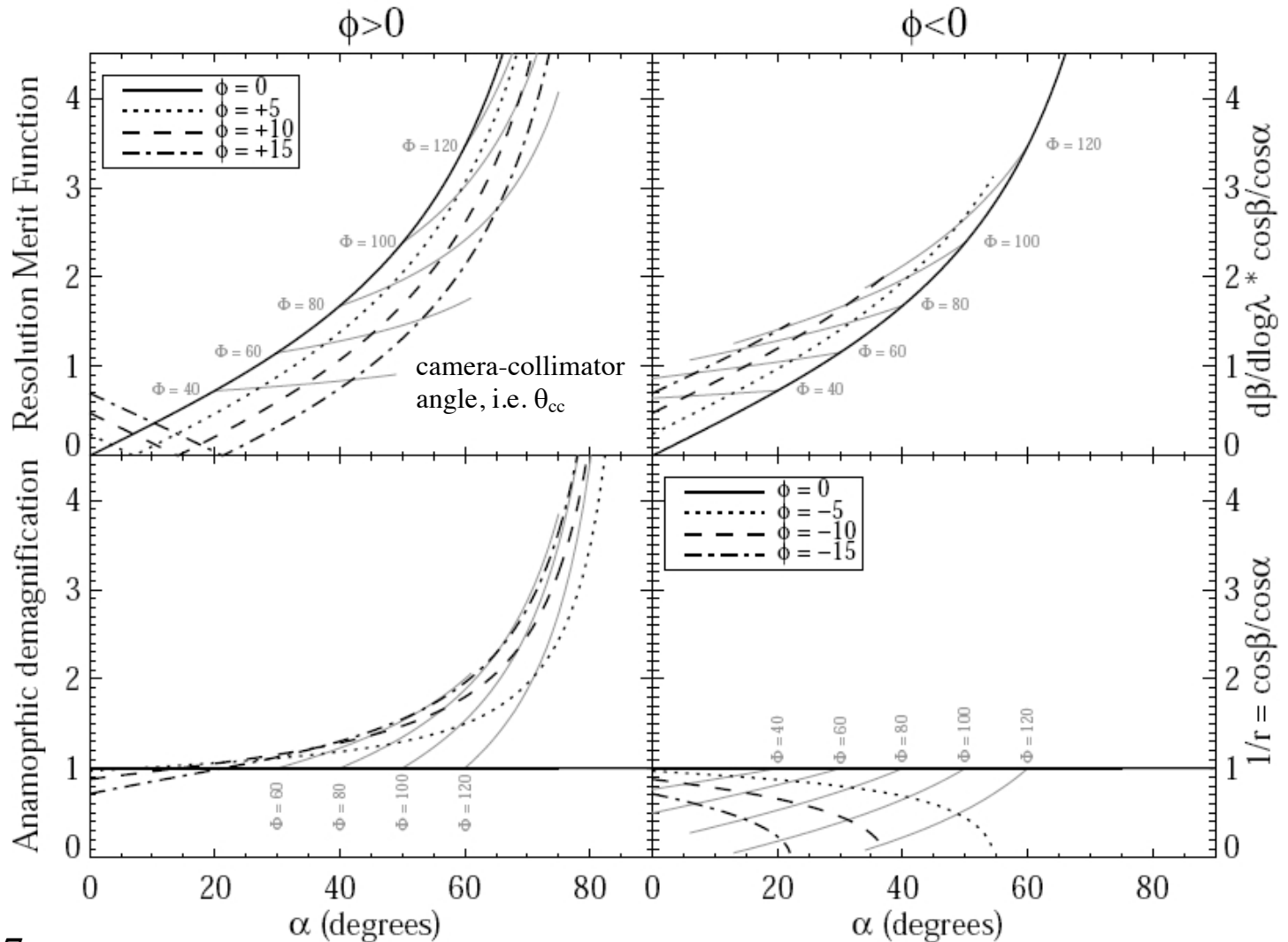
# Anamorphic factors with VPH gratings

positive fringe tilts

negative fringe tilts

...which could  
be even better

demagnification



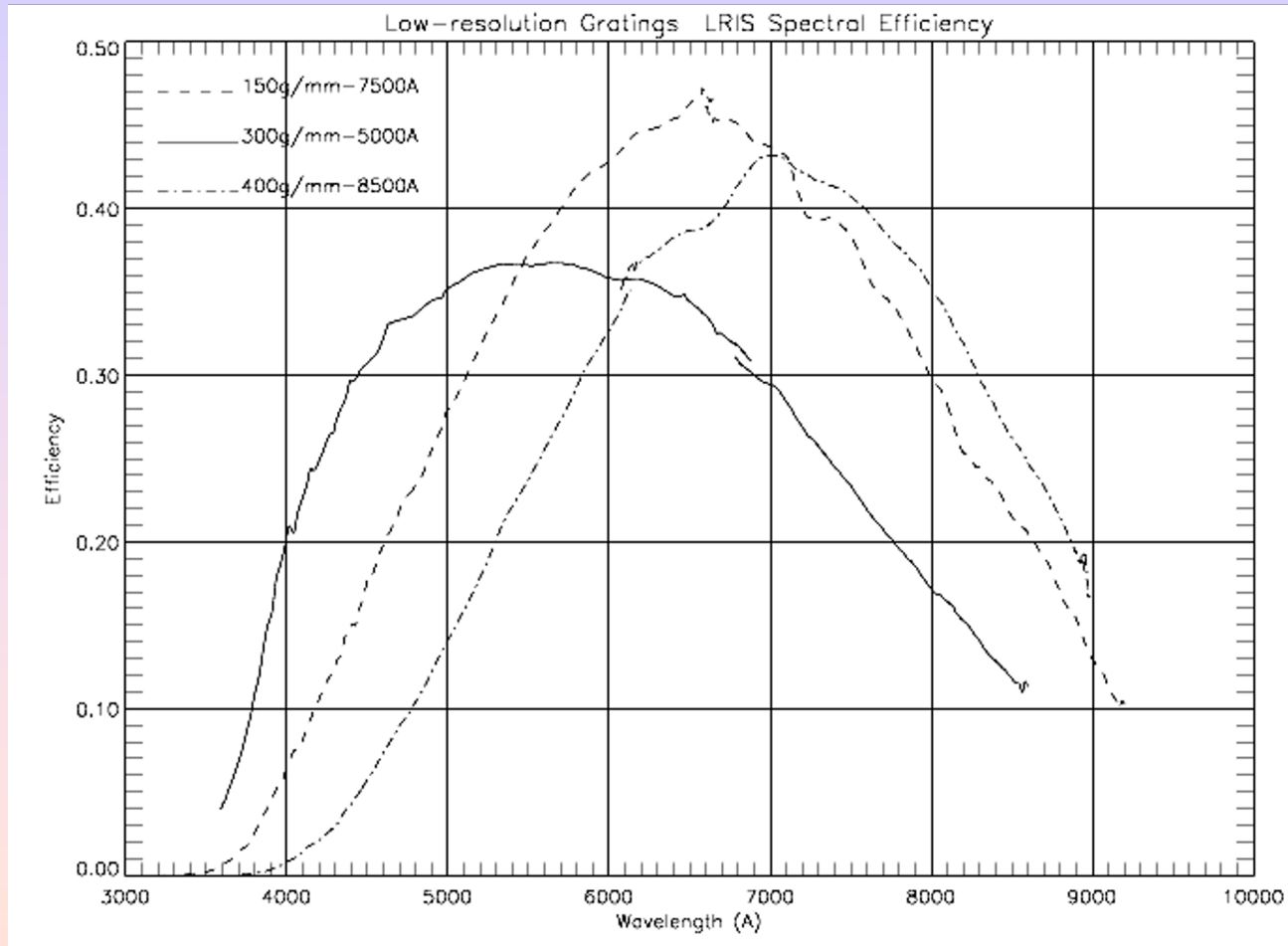
# Anamorphic factors with VPH gratings

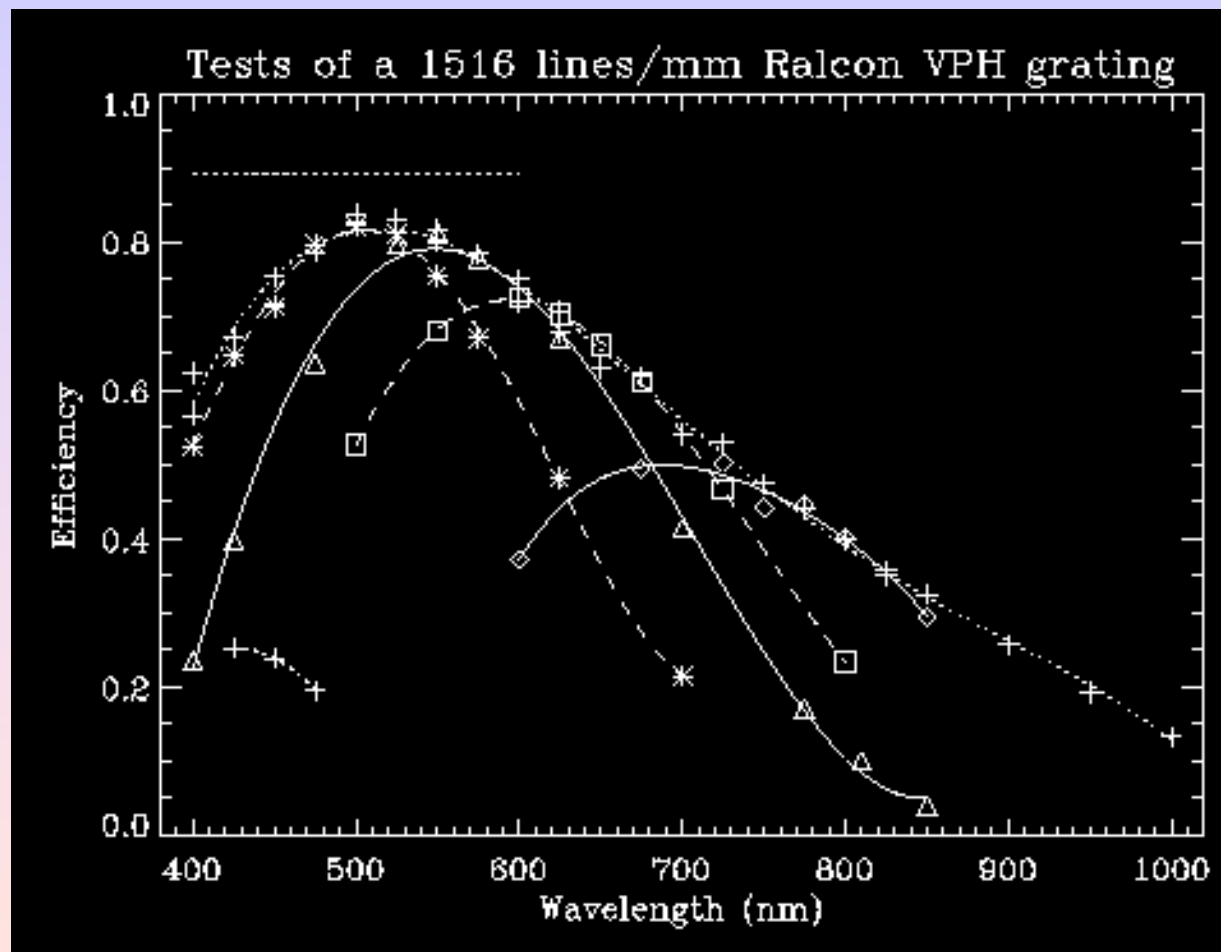
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- Negative fringe tilts give increased resolution by virtue of increased dispersion (anamorphic factor is decreased).
- Positive tilts give increased anamorphic factor but decreased resolution.

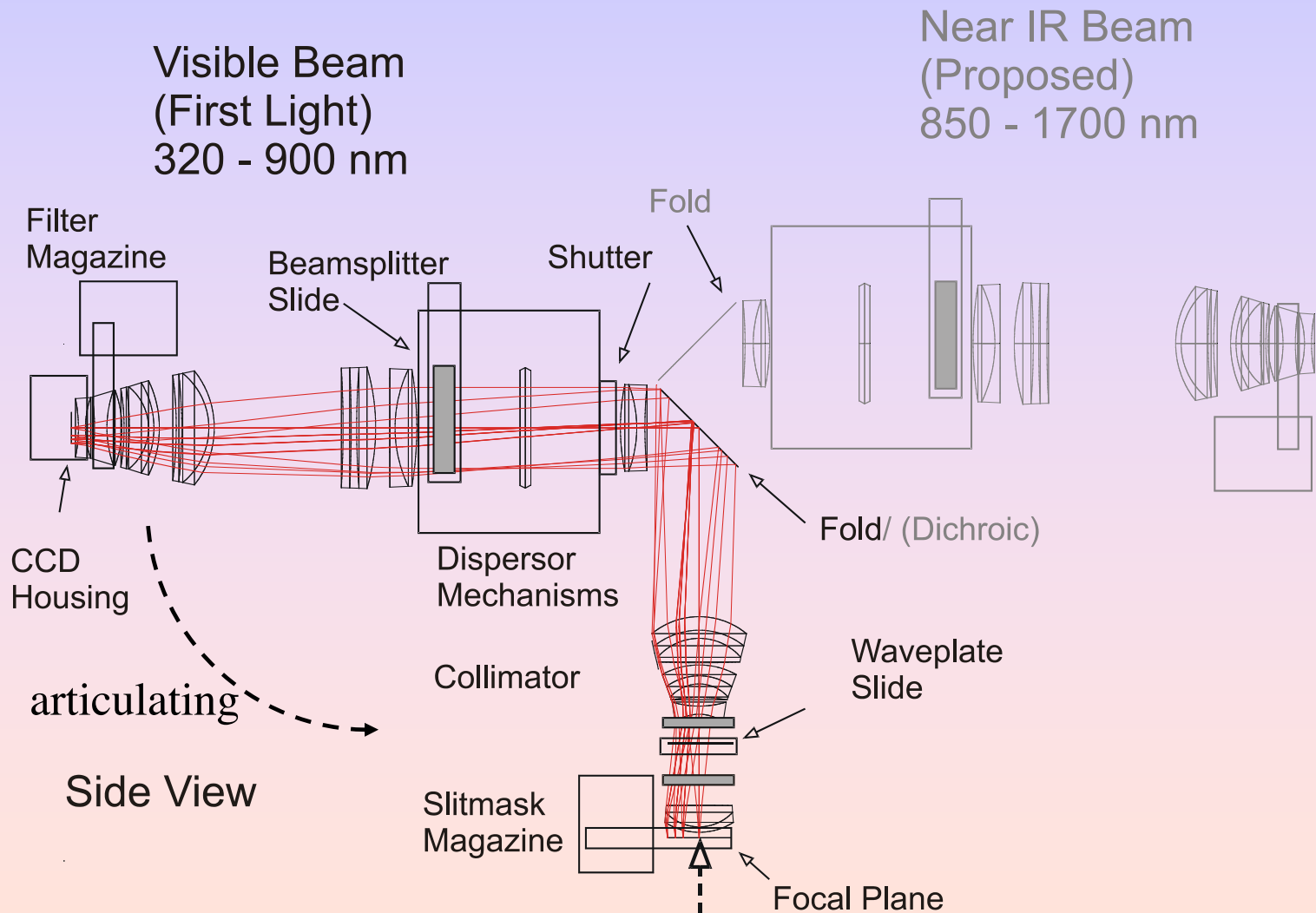
Burgh et al. 2007

# Grating Efficiencies

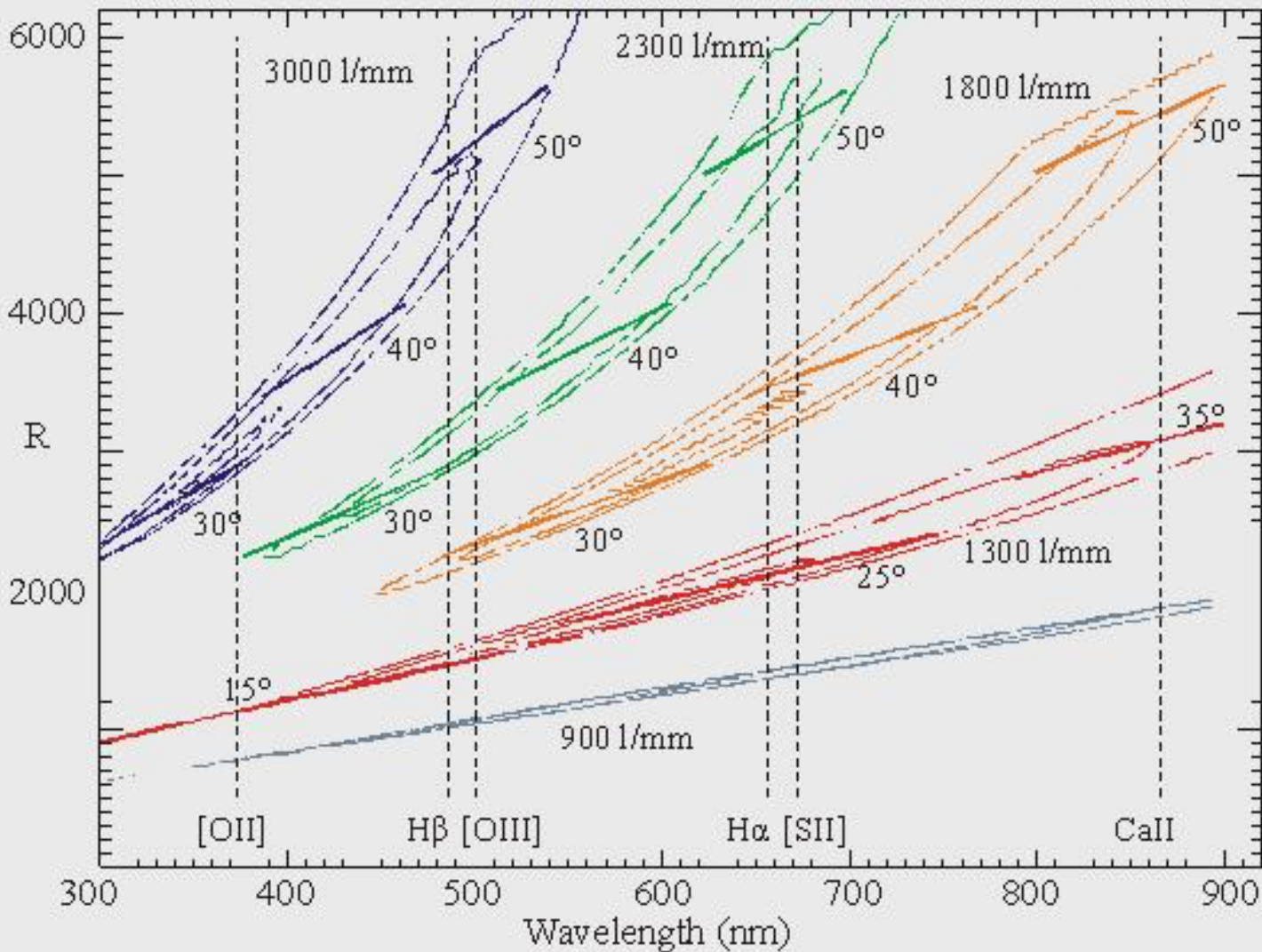




# An example of a VPH spectrograph: Southern African Large Telescope (SALT) Robert Stobie Spectrograph (RSS) layout:

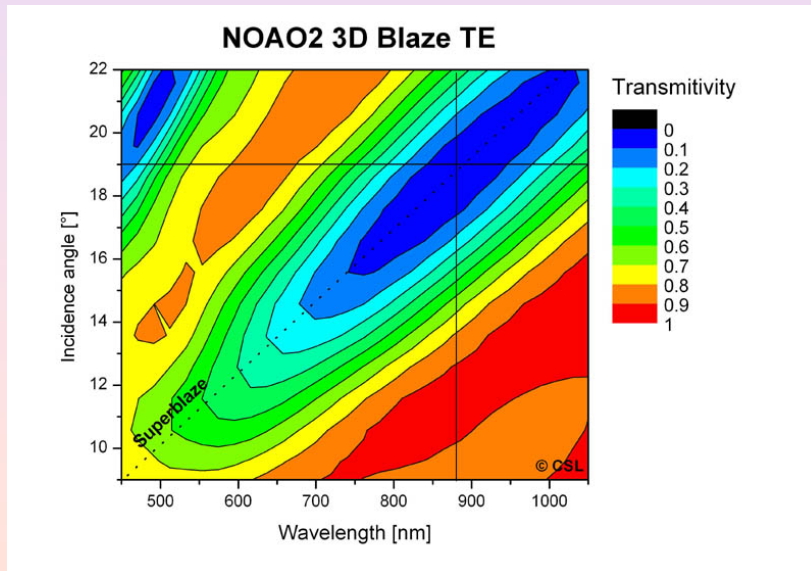
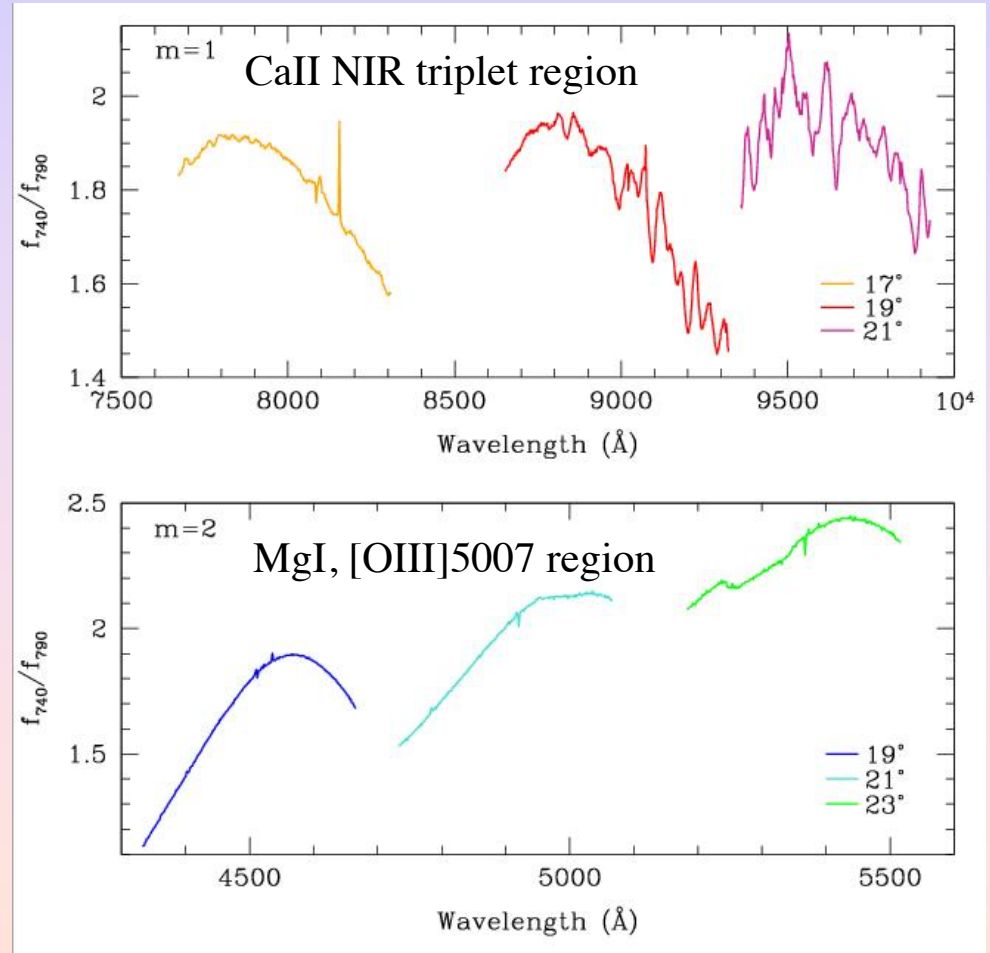


# RSS complement of VPH gratings



# An example of a VPH spectrograph: WIYN 3.5m upgraded Bench Spectrograph

- 740 1/mm: delivered and in use
- $R \sim 2500$
- 2x the diffraction efficiency of a comparable SR grating measured “head-to-head.”



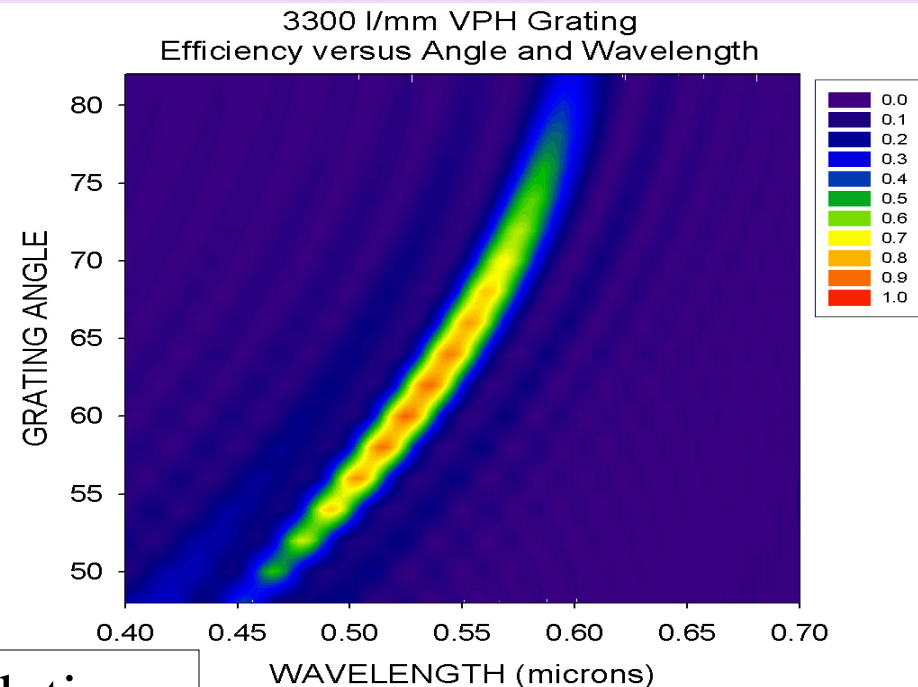
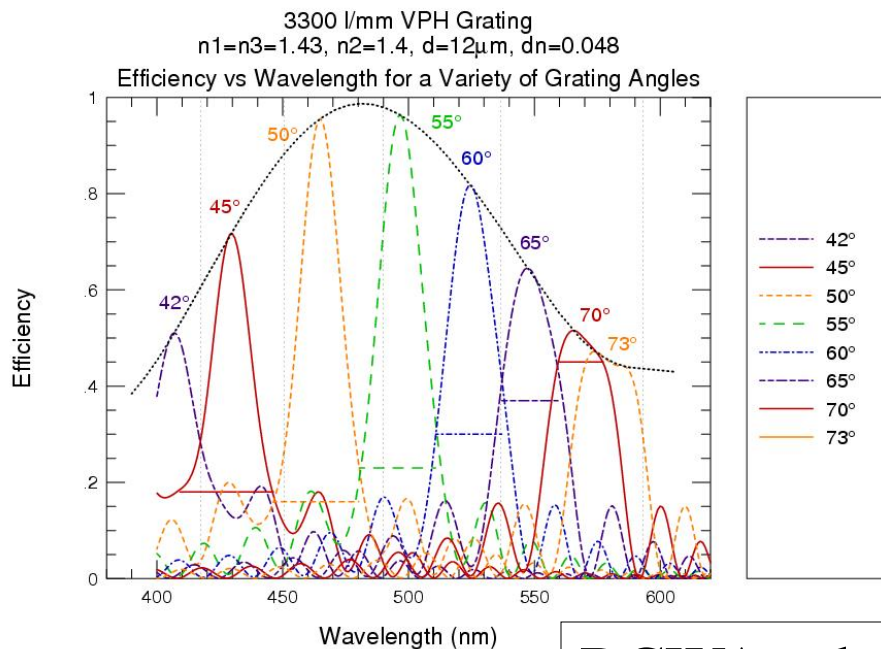
Measured transmissivity

# An example of a VPH spectrograph: WIYN 3.5m upgraded Bench Spectrograph

Challenging angles!  
Coatings an issue

- 3300 l/mm: *in use*
- 0.5m in size!
- $R \sim 7000\text{-}20,000$
- expect 2x diffraction efficiency of existing echelle.

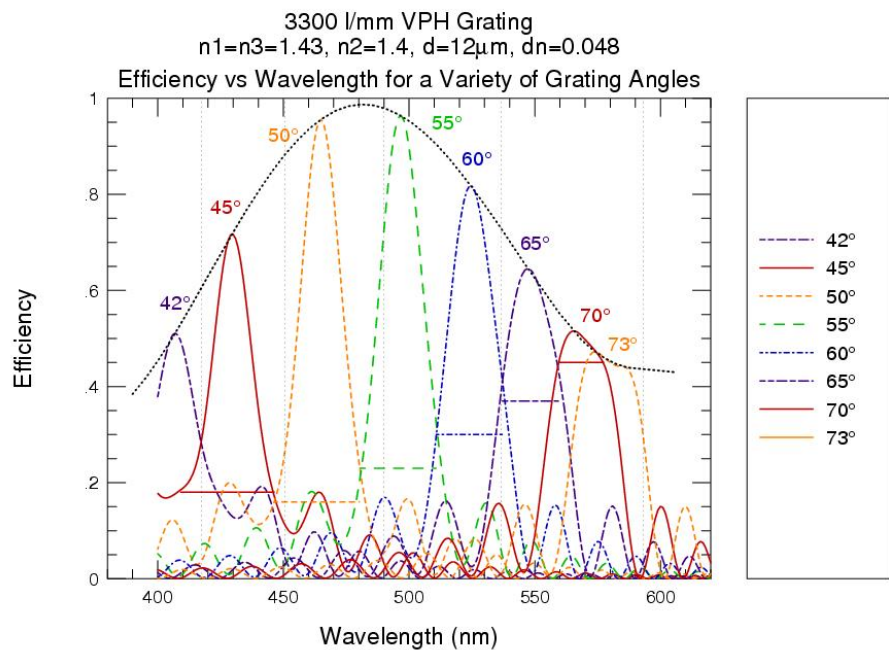
Air-Glass % Reflection Losses Per Surface				
		Uncoated	Newport Thin Film	Spectrum Thin Film
Angle			400-500nm	500-550nm 450-550nm
55°	6	2.5	2	<1
60°		3.5	2.5	
65°	11	5.5	4.5	<2
70°		9.5	7.5	
75°	24	17	15.5	<7



RCWA calculations

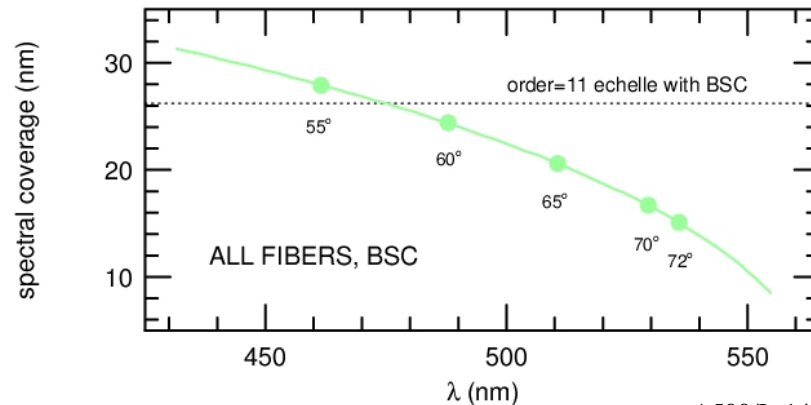
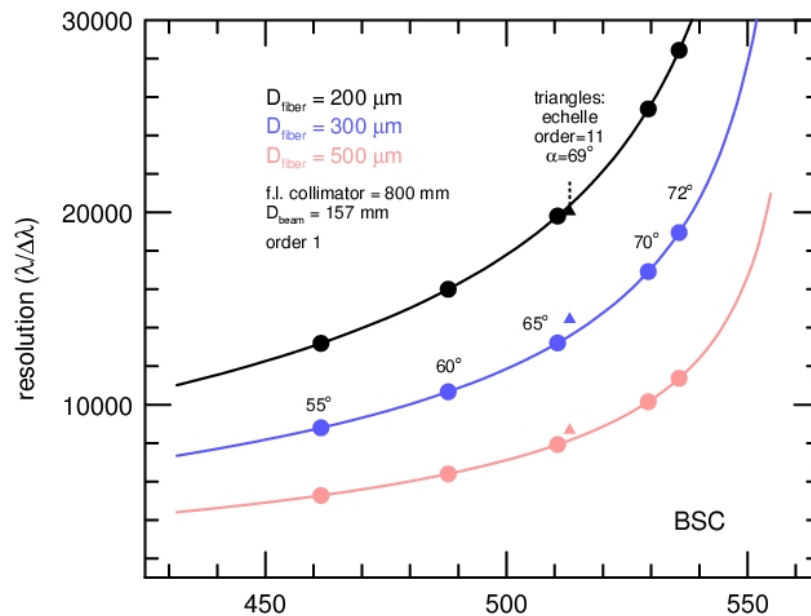
# An example of a VPH spectrograph: WIYN 3.5m upgraded Bench Spectrograph

- 3300 l/mm: *in use*
- 0.5m in size!
- $R \sim 7000-20,000$
- expect 2x diffraction efficiency of existing echelle.



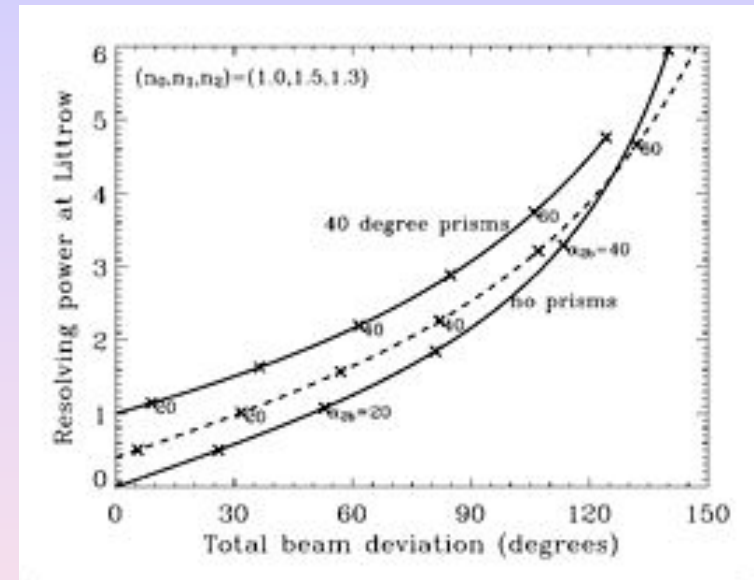
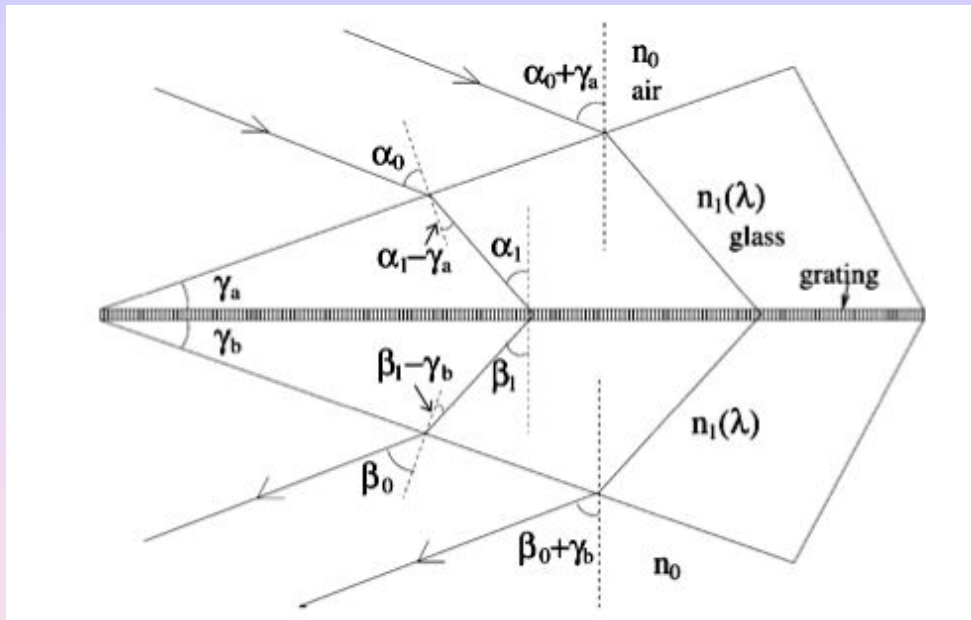
## WIYN Bench with CSL vph 3550 l/mm Grating

Resolution and Coverage vs Wavelength



# Volume Phase Holographic gratings

other possibilities: grisms

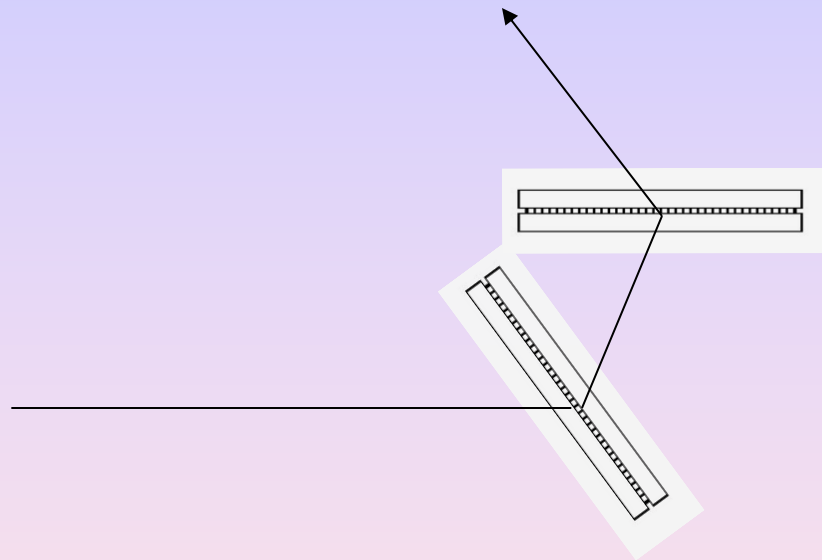


Baldry et al. '04

- Prismatic wedge boosts Littrow resolving power for given total beam deviation (as with SR gratings; e.g., Wynne '91)  
See Hill et al. '04 for example of NIR VPH grism.

# Volume Phase Holographic gratings

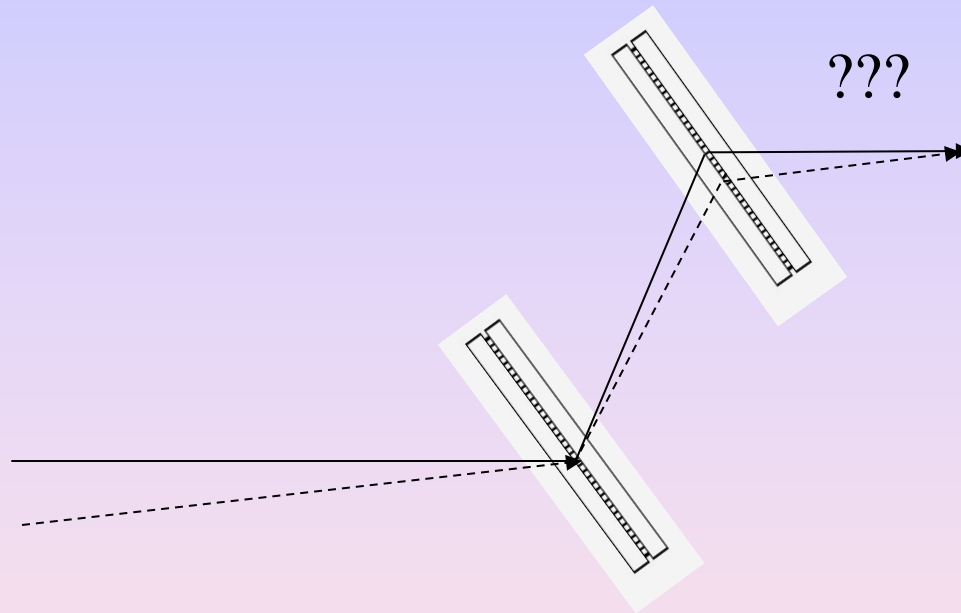
other possibilities: double modes



- Because diffraction efficiencies are high, double-grating configurations are reasonable to consider
  - We are implementing this on the WIYN Bench spectrograph
- Dispersion is roughly the sum of the two individual grating dispersions.

# Volume Phase Holographic gratings

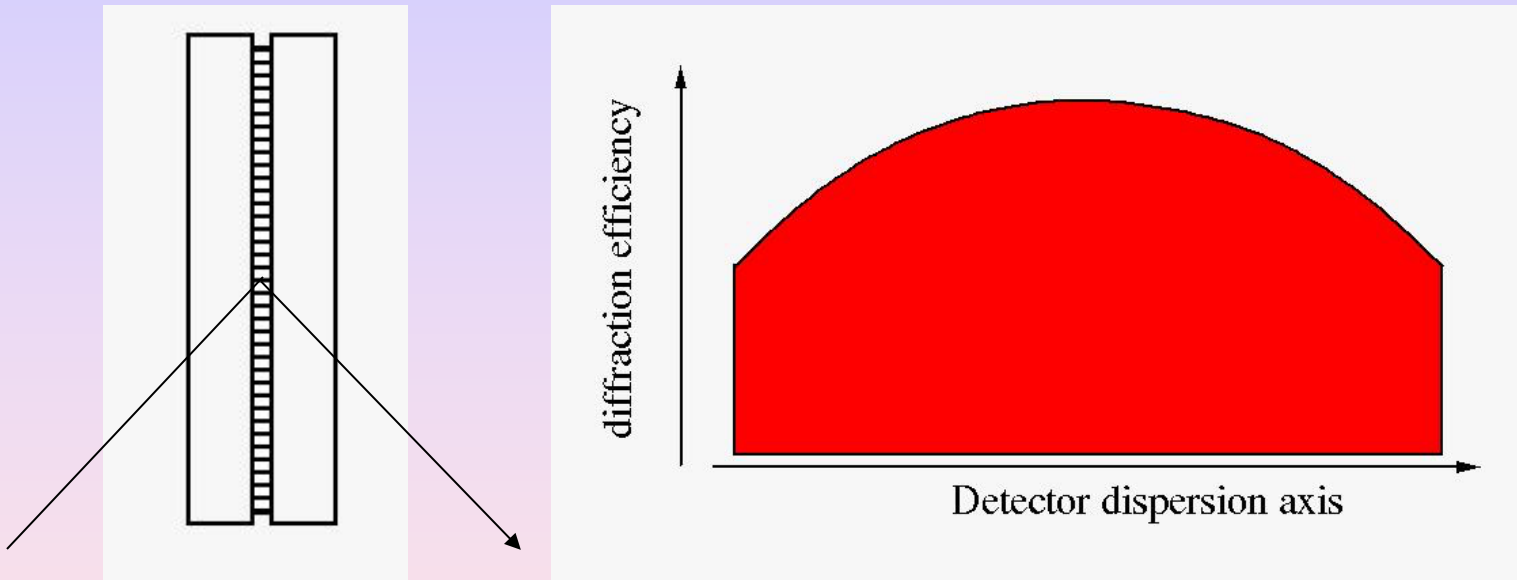
other possibilities: double modes



- Narrow-band filter
- What happens off-axis (in the dispersion dimension)?
  - Narrow-band filter with field-dependent band-pass given by Bragg condition.

# Volume Phase Holographic gratings

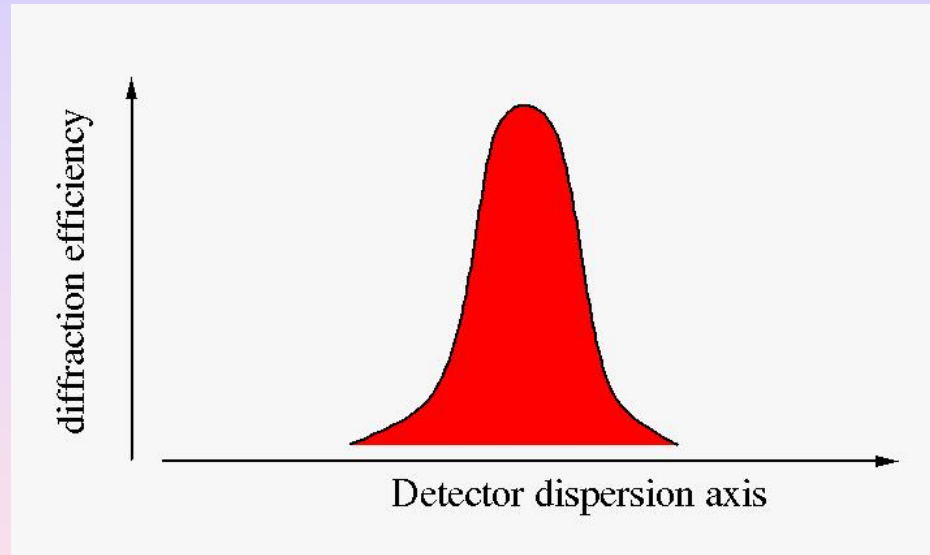
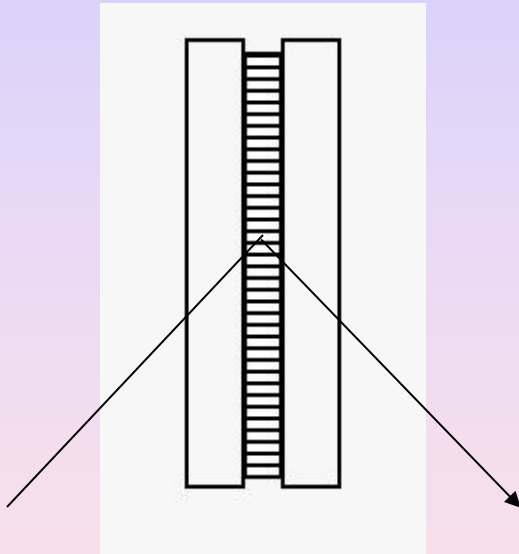
other possibilities: notch modes



- Start with a thin, single gelatin layer for large band-pass at high angle and dispersion.
- Unslanted fringes center band-pass on detector in Littrow configuration.

# Volume Phase Holographic gratings

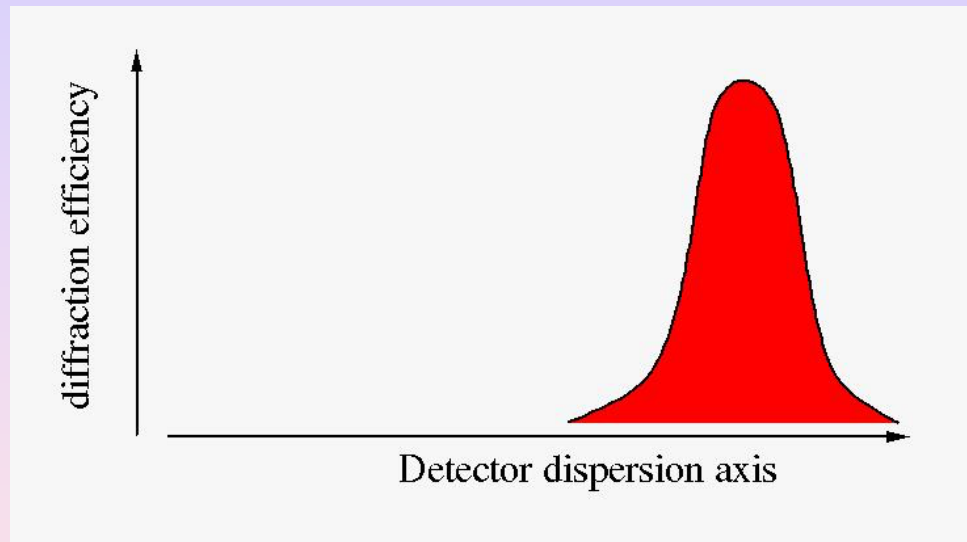
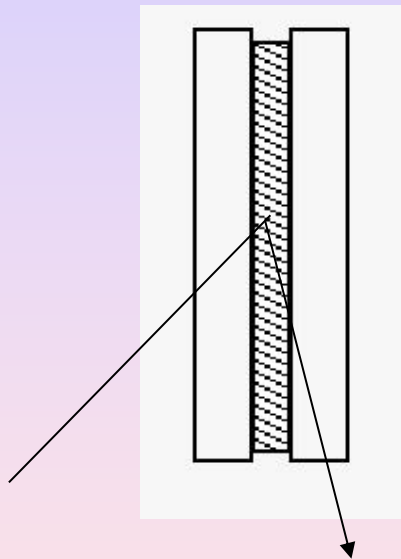
other possibilities: notch modes



- Broaden the gelatin layer to optimize for a smaller band-pass.
- Band-pass is still centered on detector in Littrow configuration.

# Volume Phase Holographic gratings

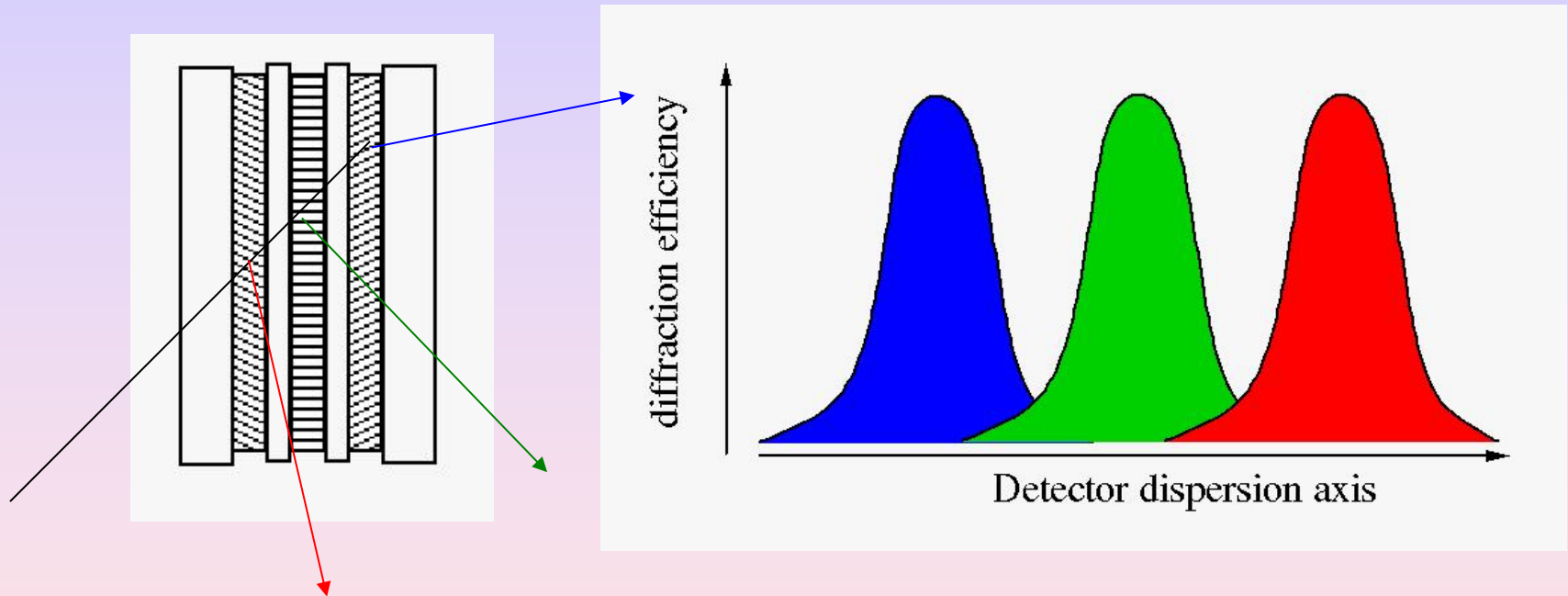
other possibilities: notch modes



- Tilt fringes in same thick gelatin layer.
- Band-pass not shifted to one edge of detector in Littrow configuration.

# Volume Phase Holographic gratings

other possibilities: notch modes



- Now add multi-layers of gelatin, each with their own fringe tilt and line density (for different wavelength regions, e.g.,  
[OII]3727, H $\beta$ + [OIII]5007, H $\alpha$ )
- Notch grating!